



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of : Woolhouse, et al.  
Serial No. : 10/560,670  
Filing Date : December 14, 2005  
Examiner : Not yet assigned  
Group Art Unit : Not yet assigned  
Entitled : "Zwitterionic Non-Linear  
Optophores and Devices  
Incorporating Same"

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Our File No. 0074-530346

Certificate of Mailing Under 37 C.F.R. §1.8(a):

I hereby certify that this correspondence is being deposited on March 28, 2006 with the United States Postal Service as first-class mail in an envelope properly addressed to the Mail Stop: AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Janice Nightlinger  
Janice Nightlinger

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97

Dear Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith 1 sheet of Form PTO/SB/08a and 1 sheet of Form PTO/SB/08b. This Information Disclosure Statement is being filed more than three months after the filing date, but before receipt of the first Official Action

on the merits. Thus, it is believed by the undersigned that no fee is required under 37 C.F.R. §1.97(b).

This submission is not an admission that the references listed on the attached Form PTO/SB/08a and Form PTO/SB/08b constitute prior art against the claims of this application.


The above-identified international application entered the U.S. national phase after June 30, 2003. Therefore, a copy of the U.S. patent listed on Form PTO/SB/08a is not included (see O.G. Notice, August 5, 2003).

The Examiner is respectfully requested to confirm receipt and consideration of the cited references by initialing and returning a copy of the attached Form PTO/SB/08a and PTO/SB/08b in accordance with MPEP §609.

In the event that a fee is required, the Commissioner is authorized to charge Deposit Account No. 04-1406 of the undersigned attorneys. A duplicate copy of this paper is enclosed.

Early and favorable consideration of this application is respectfully requested.

Respectfully submitted,  
DANN, DORFMAN, HERRELL AND SKILLMAN  
A Professional Corporation  
Agent for Applicants

By:   
Robert C. Netter, Jr., Ph.D.  
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RCN:LCF

Enclosures - Form PTO/SB/08a and Form PTO/SB/08b  
- Copy of cited reference



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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/560,670
Filing Date	December 14, 2005
First Named Inventor	Woolhouse
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	0074-530346

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	KAY, A.J., et al., "Synthesis and linear/nonlinear optical properties of a new class of 'RHS' NLO chromophore," J. Mater. Chem., 14:1321-1330, (2004).	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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